

Reading the GM044 Sponsored Project Portfolio

- The GM044 Sponsored Project Portfolio displays budget balances by Project. This report can be filtered by Department, PI, Project, or Award to get a snapshot of award information.
- An optional prompt allows you to run the report for negative balances only, to monitor deficit spending.

Sponsored Project Portfolio													Fiscal Year: Current Period						
For Fiscal Year 2016													Accounting Period: Current Period						
As of Accounting Period 8 - April 2016 (Open)													Business Units: NWUNV						
													Manager/Reviewer: All						
													Award ID: _____						
													Department: All						
													Project Status: Active Projects Only						
													Project: All						
													Negative Balance Only: No						
Department: _____																			
PI: _____																			
Project	Activity	Description	Award ID	Sponsor	Sponsor Reference Award #	FZN	Fund Code	Category	Activity Start Date	Activity End Date	Project End Date	FBA%	(A) Cumulative Budget To Date	(B) Direct Cost Expenditures	(C) Direct Cost Encumbrance	(D) F&A Expenditures	(E) F&A Encumbrance	Total Remaining Directs	A- (B+C+D+E) Available Balance
01		Subproject for In	SPK	Department of Energy	DE-EE0006449	DE-EE0006449	610	Sponsor	10/1/2013	4/30/17	4/30/2017	54.50%	\$185,009.00	\$75,368.51	\$2,044.50	\$41,076.30	\$1,114.25	\$47,454.99	\$65,405.44
Total for													\$185,009.00	\$75,368.51	\$2,044.50	\$41,076.30	\$1,114.25	\$47,454.99	\$65,405.44
Department: 4734000 MCC Mechanical Engineering																			
PI: Chang,Yip-Wah																			
Project	Activity	Description	Award ID	Sponsor	Sponsor Reference Award #	FZN	Fund Code	Category	Activity Start Date	Activity End Date	Project End Date	FBA%	(A) Cumulative Budget To Date	(B) Direct Cost Expenditures	(C) Direct Cost Encumbrance	(D) F&A Expenditures	(E) F&A Encumbrance	Total Remaining Directs	A- (B+C+D+E) Available Balance
01		Subproject for I	SPK	Department of Energy	DE-EE0006449	DE-EE0006449	610	Sponsor	10/1/2013	4/30/17	4/30/2017	54.50%	\$170,227.00	\$62,277.76	\$9,114.86	\$33,325.18	\$4,967.60	\$49,028.38	\$60,541.60
Total for													\$170,227.00	\$62,277.76	\$9,114.86	\$33,325.18	\$4,967.60	\$49,028.38	\$60,541.60
PI: _____																			
Project	Activity	Description	Award ID	Sponsor	Sponsor Reference Award #	FZN	Fund Code	Category	Activity Start Date	Activity End Date	Project End Date	FBA%	(A) Cumulative Budget To Date	(B) Direct Cost Expenditures	(C) Direct Cost Encumbrance	(D) F&A Expenditures	(E) F&A Encumbrance	Total Remaining Directs	A- (B+C+D+E) Available Balance
01		A Novel Lubricant Formulation	SPK	Department of Energy	DE-EE0006449	DE-EE0006449	610	Sponsor	10/1/2013	4/30/17	4/30/2017	54.50%	\$163,041.00	\$76,787.77	\$14,616.18	\$37,301.42	\$7,965.82	\$24,366.05	\$26,369.81
Total for													\$163,041.00	\$76,787.77	\$14,616.18	\$37,301.42	\$7,965.82	\$24,366.05	\$26,369.81
Grand Total													\$518,277.00	\$214,434.04	\$25,775.54	\$111,702.90	\$14,047.67	\$120,849.42	\$152,316.85

A. Cumulative Budget To Date is the cumulative budget from the start of the grant to the fiscal year and accounting period for which the report was run.

B. Direct Cost Expenditures are the Total Direct Costs charged to sponsor funds. Direct Cost is the actual amount of the transaction whereas Indirect Cost is the additional amount charged to the sponsor, based on the Indirect Cost rate.

C. Direct Cost Encumbrance are the total commitments against the budget that are not yet posted/paid. These include encumbered Requisitions/Purchase Orders, Travel Authorizations/Advances, Payroll; and Expense Reports, Online Vouchers, and Journals still in workflow.

D. F&A Expenditures are the Indirect Cost Amounts charged to the Sponsor based on (B) Direct Cost Expenditures.

E. F&A Encumbrance is the total Indirect Cost that will be charged to the sponsor once the amounts in column (C) Direct Cost Encumbrances are paid. Indirect Cost is calculated in this report, but not in NUFInancials.

F. Total Remaining Directs (optional column) calculates the Total Remaining Direct Expenditures.

- $A(\text{direct portion}) - B - D = \text{Total Remaining Directs}$

G. **Available Balance** is the amount you have left to spend calculated by subtracting total Direct Cost, F&A Expenditures, and Encumbrances from the Cumulative Budget to Date.

- $A - (B + C + D + E)$

H. **Total for PI** gives a subtotal of each column for every PI named in the report.

Grand Total is a total of each column for the entire report.

I. **Project ID** is a hyperlink which can be clicked to drill-through to GM045 Sponsored Project Budget Statement which breaks out the available balance by sponsor and cost share commitments. Each balance is then broken out by budget category (includes expenses and encumbrances).

Activity ID is always 01 unless the sponsor requires that spending is restricted by grant year (in which case money is not carried forward into the next fiscal year). When this occurs the Activity ID will show the year of the grant; 01, 02, 03, etc. Year 1 will only show information for that year. Year 2 will show year 2, etc.

Description gives the Project name.

J. **Award ID** is the award/contract number.

K. **Sponsor, Sponsor Reference Award #** is the sponsor's name and reference number for the award.

FAIN# (Federal Award Identification Number) is an additional identifier provided by the federal government. This field displays 'not available' for non-federally sponsored and non-federal flow-through awards.

L. **Fund Code** is the chartstring Fund number.

Category (optional column) displays the fund type; Sponsor, Mandatory Cost Share, or Over-the-Cap Commitment.

M. **Activity Start Date, Activity End Date** refer to the beginning and end of the grant year.

Project End Date is the date the grant is expected to end.

N. **F&A%** The Facilities & Administration (F&A) rate is used to calculate charges to the sponsor (facilities usage and administrative support).

- This is also called Indirect Cost. On most Federal awards, a negotiated F&A rate reimburses the University for these Indirect Costs.
- For example, if a project is awarded \$100,000 and its F&A rate is 54.5%, then its

F&A budget is \$54,500 and the total budget is \$154,500.

- The F&A rate is a percentage charged against the actual (direct cost) amount of a transaction.
 - Non-federal awards may or may not apply the same negotiated rate; they may reimburse at a lower rate, by a lump sum, or not at all (depending on the terms and conditions of the proposal).
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- **NEED HELP?** For assistance, contact NUIT Support Center at 847-491-4357 (1-HELP) or e-mail consultant@northwestern.edu.

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